



PCT/PTO 28 FEB 2002

#11

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Richard J. Lewis, et al.

Docket: 14438

Serial No.: 09/787,986

Dated: February 19, 2002

Filed: March 23, 2001

International Appln. No.: PCT/AU99/00844

International Filing: October 1, 1999

For: NOVEL PEPTIDES

Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

Sir:

In response to the Notification of Defective Response dated January 18, 2002, Applicants herewith submit a substitute paper and a substitute computer readable copy of the sequence listing in connection with the above-identified application, along

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on February 19, 2002.

Dated: February 19, 2002


Michelle Mustafa

with a Statement Under 37 C.F.R. §1.821(f).

Applicants are also submitting a copy of the Notification. In view of the above, it is respectfully submitted that the application is now in condition for an examination on the merits. Such action, at an early date, is earnestly solicited.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'P. I. Bernstein', with a long horizontal flourish extending to the right.

Peter I. Bernstein

Registration No. 43,497

SCULLY, SCOTT, MURPHY & PRESSER
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PIB:ab



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box 101
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/787,986	Richard James Lewis	14438

Scully Scott Murphy & Presser
400 Garden City Plaza
Garden City, NY 11530



INTERNATIONAL APPLICATION NO.	
PCT/AU99/00844	
I.A. FILING DATE	PRIORITY DATE
10/01/1999	10/02/1998

CONFIRMATION NO. 1227
371 FORMALITIES LETTER



OC000000007330157

Date Mailed: 01/18/2002

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - See Raw Sequence Listing Error Report
 - APPLICANT MUST PROVIDE:
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
 - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase PatentIn Software, call (703) 306-2600

- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended up to a maximum of six months.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/787,986	PCT/AU99/00844	14438

TRANSMITTAL LETTER
(General - Patent Pending)Docket No.
14438

In Re Application Of: Richard J. Lewis, et al.

FEB 2 8 2002

Serial No.
09/787,986Filing Date
March 23, 2001Examiner
UnassignedGroup Art Unit
Unassigned

Title: NOVEL PEPTIDES

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**Response to Notification of Defective Response, Statement under 37 C.F.R. 1.821(f), copy of Notification,
Substitute Paper Copy of Sequence Listing and Substitute Computer Readable Form of Sequence Listing on Disk**

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.


Signature

Dated: February 19, 2002

Peter I. Bernstein
Registration No. 43,497**Scully, Scott, Murphy & Presser**
400 Garden City Plaza
Garden City, NY 11530
(516) 742-4343

I certify that this document and fee is being deposited
on Feb. 19, 2002 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.


Signature of Person Mailing Correspondence**Michelle Mustafa**

Typed or Printed Name of Person Mailing Correspondence

cc: